

USDC SDNY
DOCUMENT
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DATE FILED: 5/17/2024

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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UNITED STATES OF AMERICA

- v. -

SHAMOON RAFIQ,

Defendant.
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CONSENT PRELIMINARY ORDER
OF FORFEITURE/
MONEY JUDGMENT

24 Cr. 98 (VM)

WHEREAS, on or about February 22, 2024 SHAMOON RAFIQ (the
“Defendant”), was charged in an Information, 24 Cr. ____ (____) (the “Information”), with
conspiracy to commit securities fraud, in violation of Title 18, United States Code, Section 371;

WHEREAS, the Information included a forfeiture allegation as to Count One of the
Information, seeking forfeiture to the United States, pursuant to Title 18, United States Code,
Section 981(a)(1)(C), and Title 28, United States Code, Section 2461(c), of any and all property,
real and personal, that constitutes or is derived from proceeds traceable to the commission of the
offense alleged in Count One of the Information, including but not limited to a sum of money in
United States currency representing the amount of proceeds traceable to the commission of the
offense charged in Count One of the Information;

WHEREAS, on or about February 23, 2024 the Defendant pled guilty to Count One
of the Information, pursuant to a plea agreement with the Government, wherein the Defendant
admitted the forfeiture allegation with respect to Count One of the Information and agreed to forfeit
to the United States, pursuant to Title 18, United States Code, Section 981(a)(1)(C), and Title 28,
United States Code, Section 2461, a sum of money equal to at least \$1,002,615 in United States
currency, representing the amount of proceeds traceable to the commission of the offense alleged
in Count One of the Information;

WHEREAS, the Defendant consents to the entry of a money judgment in the amount of \$1,002,615 in United States currency, representing the amount of proceeds traceable to the offense charged in Count One of the Information, that the Defendant personally obtained; and

WHEREAS, the Defendant admits that, as a result of acts and/or omissions of the Defendant, the proceeds traceable to the offense charged in Count One of the Information, that the Defendant personally obtained, cannot be located upon the exercise of due diligence.

IT IS HEREBY STIPULATED AND AGREED, by and between the United States of America, by its attorney Damian Williams, United States Attorney, Assistant United States Attorney, Jared Lenow of counsel, and the Defendant, and his counsel, Florian Miedel Esq., that:

1. As a result of the offense charged in Count One of the Information, to which the Defendant pled guilty, a money judgment in the amount of \$1,002,615 in United States currency (the "Money Judgment"), representing the amount of proceeds traceable to the offense charged in Count One of the Information, that the Defendant personally obtained, shall be entered against the Defendant.

2. Pursuant to Rule 32.2(b)(4) of the Federal Rules of Criminal Procedure, this Consent Preliminary Order of Forfeiture/Money Judgment is final as to the Defendant, SHAMOON RAFIQ, and shall be deemed part of the sentence of the Defendant, and shall be included in the judgment of conviction therewith.

3. All payments on the outstanding Money Judgment shall be made by postal money order, bank or certified check, made payable, in this instance, to United States Customs and Border Protection, and delivered by mail to the United States Attorney's Office, Southern District of New York, Attn: Illicit Finance and Money Laundering Unit, One St. Andrew's Plaza, New York, New York 10007 and shall indicate the Defendant's name and case number.

4. Upon entry of this Consent Preliminary Order of Forfeiture/Money Judgment, and pursuant to Title 21, United States Code, Section 853, United States Customs and Border Protection, or its designee the Office of Fines, Penalties, and Forfeiture shall be authorized to deposit the payment on the Money Judgment into the Treasury Assets Forfeiture Fund, and the United States shall have clear title to such forfeited property.

5. Pursuant to Title 21, United States Code, Section 853(p), the United States is authorized to seek forfeiture of substitute assets of the Defendant up to the uncollected amount of the Money Judgment.

6. Pursuant to Rule 32.2(b)(3) of the Federal Rules of Criminal Procedure, the United States Attorney's Office is authorized to conduct any discovery needed to identify, locate or dispose of forfeitable property, including depositions, interrogatories, requests for production of documents and the issuance of subpoenas.


7. The Court shall retain jurisdiction to enforce this Consent Preliminary Order of Forfeiture/Money Judgment, and to amend it as necessary, pursuant to Rule 32.2 of the Federal Rules of Criminal Procedure.

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8. The signature page of this Consent Preliminary Order of Forfeiture/Money Judgment may be executed in one or more counterparts, each of which will be deemed an original but all of which together will constitute one and the same instrument.

AGREED AND CONSENTED TO:

DAMIAN WILLIAMS
United States Attorney for the
Southern District of New York


By: 
Jared Lenow
Assistant United States Attorney
One St. Andrew's Plaza
New York, NY 10007
(212) 637-1068

2/14/2024
DATE

SHAMOON RAFIQ

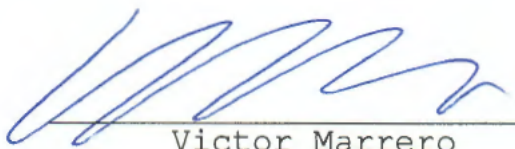
By: 
SHAMOON RAFIQ

2/22/24
DATE

By: 
Florian Miedel, Esq.
Miedel & Mysliwiec LLP
Attorneys for Defendant
52 Duane Street, 7th Floor
New York, NY 10007
(212) 616-3042

2/22/24
DATE

SO ORDERED:


Victor Marrero
U.S.D.J.

17 May 2024
DATE